

Newbridge Securities Corporation – Fee Schedule

ACAT Transfers of Accounts Out of Cor Clearing Checks		\$175.00
Stop Payments		\$25.00 per check
Returned Checks		\$40.00 per check
Check Writing Stop Payments		\$25.00
Prepayments***		\$50.00 plus interest
Returned ACH Items (Electronic Fund Transfers)		\$25.00
Individual Retirement Account (IRA)		
IRA Custodial Fee		\$48.00 per plan
IRA Transfers (including transfer of Roth to Traditional)		\$20.00 per check
IRA Termination Fee		\$50.00 per plan
Limited Partnership Charges		
Limited Partnership / Private Asset Preview		\$200.00
Deposit and Set-up		\$200.00 one time
Monthly Pricing		\$35.00 annual
Re-Registration		\$50.00
Firm Commission		\$29.95
Transfer On Death Registration (TOD per account)		
Application Fee		\$50.00
Change / Revocation		\$50.00
Distribution of Assets from Cor Clearing		\$100.00
Replacement of Lost Certificates		
Replacement of Lost Certificates not in Legent's possession		\$50.00+ add'l costs (10% stock value for Ins & Transfer agent fee)
Stops on Certificates		\$35.00
Restricted Stock		
Clearance or Transfer of Restricted Stock		\$35.00 plus incurred charges
RUSH		\$50.00 plus incurred charges
Transfer Agent Fee		As incurred by Cor
Physical/Electronic Security Processing		
Certificate Request (penny stock)	DTC	\$750.00
Certificate Request (other)	DTC	\$700.00 per certificate
Rush Withdrawal	DTC	\$150.00 additional
Physical Deposits (penny stock)	DTC	\$150.00 per certificate
(over 1 million shares this fee plus registration fee below applies)		
Penny stock re-registration with agent (domestic)		\$165.00-\$535.00 per certificate
Penny stock re-registration with agent (Canadian)		\$165.00-\$535.00 per certificate
Rejected deposits	DTC or Agent	additional \$185.00 per certificate
Transfer Agent Fee		as incurred by Cor Clearing
Free Deliveries (Firm to Firm)	DTC	\$15.00
DWAC Deliveries (Transfer Agent to Firm)		\$50.00 - \$75.00
DWAC/Receipt (Newbridge Processed)		\$150 plus DWAC fee and costs
DWAC/Receipt (Cor Processed)		\$300 plus DWAC fee and costs
DRS Transfer		\$ 60.00
Stock Certificate Deposit (Newbridge Processed)		\$150 plus costs
Stock Certificate Deposit (Cor Processed)		\$300 plus costs
Third Party Transaction Processing		\$500
DWAC/ Physical Receipt (All Pink sheet ,OTCBB : NASDAQ & OTC under \$3.00) Review for sale		\$1000
ACAT Transfer/ Receipts((All Pink sheet ,OTCBB : NASDAQ & OTC under \$3.00) Review for Sale		\$1000
Illiquid Security Sales (Nasdaq, OTCBB, Pinksheet)(Contact your Broker for further details)		Financing costs as incurred by Cor Clearing
Inactive Fee		\$50.00 per year
Coupon Processing (per shell)		\$20.00
Cashless Stock Options		\$25.00 plus incurred charges
Bearer Bond Withdrawals		\$25.00 plus incurred charges
Wire Fee (Domestic)		\$25.00
Wire Fee (International)		\$50.00
Overnight Delivery		\$25.00
International		\$45.00
New Zealand and Australia		\$60.00
Tender / Exchange Offer (Voluntaries Only)		\$25.00
Bond Redemption / Conversion		\$25.00
Foreign Securities		
Transfer In and Out / Physical Certificates		\$75.00
Physical Certificate Request		\$250.00
Foreign Non-Euroclear		\$75.00
Alternatives/ Non Traded REITs (For IRA's only)		\$50.00 per year (charged quarterly per acct)
Safe Keeping Fee (Vault fees) per certificate s		\$50.00 per year (charged quarterly)
ADR Fee		As charged by agent
ADR custodial Fees		\$0.01-\$0.35 Per Share
Treasury Direct Auction Trades		\$20.00 per execution
Worthless Securities Charge (research & write-off)		\$30.00 plus incurred charges
Guaranteed Monthly Statements		\$25.00 per year
Exempt Client Special Request 1099		\$15.00 each 1099 issued
Cost of carry on fails to deliver (DVP/RVP Accounts Only)		LCBR + 3
Margin Debit & Cash Debit Interest		LCBR + 3
Cor Cash Plus (CCPA)		\$75.00 annual
CCPA Check Re-order		\$35.00 (100 checks)
Statement or 1099 reprints		\$10.00 Each
Confirm reprints		\$5.00 each

* The above fees for services provided by Cor may be charged to your account at the time of or prior to the service being rendered. Newbridge may receive a portion of the fees charged by the clearing firm (including, but not limited to, margin interest). Revisions will be provided to clients at least 30 days before the implementing of new or revised fees. Please contact your account executive if you have any questions or need additional information.

* LCBR is Cor Clearing's base rate.